



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Pauley et al.  
Serial No.: 09/414,764  
For: RAPID EXPANSION TAMPON PLEDGET  
Filed: October 7, 1999  
Examiner: NOT YET ASSIGNED  
Art Unit: 3735  
Atty. Docket No.: 460.1791USU

**BOX MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF PROVISIONAL APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.53(c)**

Transmitted herewith are the following documents pertaining to the above-identified application:

XX Declaration and Power of Attorney executed by Suzanne M. Pauley, Jeffrey M. Brown, Irwin Butensky, Dane R. Jackson;

\_\_\_\_ Declaration Claiming Small Entity Status (Small Business Concern);

\_\_\_\_ Sheets of Formal Drawings;

XX Assignment of the Invention including \$40.00 recordation fee and Assignment Recordation Cover Sheet for Suzanne M. Pauley, Jeffrey M. Brown, Irwin Butensky, Dane R. Jackson;

\_\_\_\_ A Certified Copy of the Priority Application;

X A copy of the Notice to File Missing Parts of Application Filing Date Granted.

\_\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$760.00
Total Claims	20 - 20 =	0	0 x \$18.00	\$0.00
Independent Claims	3 - 3 =	0	0 x \$78.00	\$0.00
Multiple Dependent Claim Fee			x \$270.00 = \$	
TOTAL FILING FEE			PAID \$760.00	
1/2 FILING FEE FOR SMALL ENTITY				

X A surcharge for late filing of missing parts in the amount \$130.00 (\$65.00 for small entities).

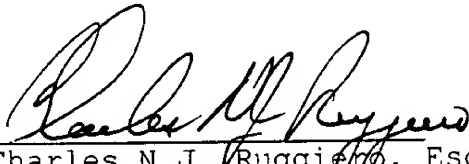
X A check in the amount of \$170.00 to cover the surcharge (\$130.00) and the Assignment Recordation Fee ( \$40.00).

X The Commissioner is hereby authorized to charge any additional fees which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

X Transmittal Letter in Duplicate;

X Postcard.

December 13, 1999  
Date

  
Charles N.J. Ruggiero, Esq.  
Ohlandt, Greeley, Ruggiero & Perle L.L.  
Attorney for Applicants  
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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON DECEMBER 13, 1999.

Charles N.J. Ruggiero  
NAME OF REGISTERED REP.

  
SIGNATURE

12/13/99  
DATE



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/414,764 10/07/99 PAULEY

S 460.1791USU

0242/1102

CHARLES N.J. RUGGIERO  
OHLAND GREELEY RUGGIERO & PERLE LLP  
ONE LANDMARK SQUARE  
9TH FLOOR  
STAMFORD CT 06901-2682

NOT ASSIGNED

3735

DATE MAILED:

11/02/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the signature number and Filing Date is required.

The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/16/1999 MSHIFERA 00000082 09414764 130.00 OP 01 FC:105